



EXPRESS MAIL LABEL NO.: EV 382940748 US  
Form PTO-1449

Sheet 1 of 3

Applicant: Aronson, et al.  
Serial No.: 10/629,253  
Filing Date: July 28, 2003  
Confirmation No.: 6707  
For: SMALL FORM FACTOR TRANSCEIVER WITH EXTERNALLY  
MODULATED LASER

Att'y Docket No.: 154326.29.1.2

INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

Related U.S. Patent Applications

<u>Inventor(s)</u>	<u>Application Number</u>	<u>Filing Date</u>
Giaretta, et al.	10/629,724	July 28, 2003
Kumar, et al.	10/409,837	April 9, 2003
Aronson, et al.	10/748,051	December 30, 2003

U.S. Patent Documents

<u>Examiner Initial*</u>	<u>Patent Number</u>	<u>Issue Date</u>	<u>Name</u>	<u>Filing Date</u>
JD	A1. 3,987,676	10/26/76	Bennewitz	11/28/75
JD	A2. 4,128,697	12/05/78	Simpson	04/22/77
JD	A3. 4,375,578	03/01/83	Mitchell, et al.	02/06/81
JD	A4. 4,769,684	09/06/88	Crocker, et al.	07/07/87
JD	A5. 5,212,345	05/18/93	Gutierrez	01/24/92
JD	A6. 5,545,846	08/13/96	Williams, et al.	09/30/93

Foreign Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Publ. Date</u>	<u>Country or Patent Office</u>	<u>Sub Class</u>	<u>Class</u>	<u>Trans-lation</u>
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NONE

Examiner:

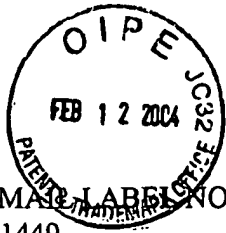
*Jennifer Doan*

Date Considered:

*2/17/05*

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

*Attachment to paper 021805*



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Other Documents

(including author (if listed), title, relevant pages, date of publication including at least month and year).

Examiner

Initial\*

NONE

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**References Cited by Applicants**

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted

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Examiner: *Jennifer Doan*

Date Considered: *2/17/05*

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form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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Examiner:

*Jennifer Doan*

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